

01-24-02

A

Docket No. 0575/57474-A/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

November 12, 2001

S I R:

Transmitted herewith for filing are the specification and claims of the
patent application of:

Ronald Breslow et al

for

Inventor(s)

BETA-CYCLODEXTRIN DIMERS AND PHTHALOCYANINES AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 5 sheet(s) of ☐ informal ☒ formal drawings.☐ Oath or declaration of Applicant(s).☐ A power of attorney☐ An assignment of the invention to☒ A Preliminary Amendment, marked-up version of amended claims (Exhibit A)☒ A verified statement to establish small entity status under 37 C.F.R.
§1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

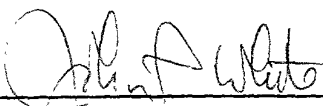
	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	20 -20	=	0	X	\$ 9	\$18	= \$0	\$
Independent Claims	2 -3	=	0	X	\$42	\$84	= \$ 0	\$
Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					\$140	\$280	= \$ 0	\$
BASIC FEE							\$ 370	\$ 740
TOTAL FEE							\$ 370	\$

*If the different in Col. 1 is
less than zero, enter "0" in
Col. 211/12/01
1135 U.S. PTO10/054585
11/12/01

U.S. Serial No.: Not Yet Known
Filed: Herewith
Letter of Transmittal
Page 2

- ☒ A check in the amount of \$ 370.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. _____ filed in _____ on _____.
Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) Express Mail Certificate of Mailing bearing Label No. EL013822549US dated November 12, 2001; duplicate set of drawings

Respectfully submitted,



John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ronald Breslow et al.
U.S. Serial No.: Not Yet Known
Filed : Herewith
For : BETA-CYCLODEXTRIN DIMERS AND PHTHALOCYANINES
AND USES THEREOF

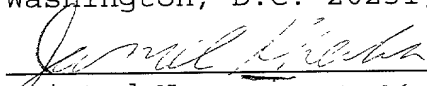
1185 Avenue of the Americas
New York, New York 10036
November 12, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Patent Application


Sir:

EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" Mailing Label Number: EL013822549US
Date of deposit: November 12, 2001
I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. §1.10 on the date indicated
above and is addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231, ATTN: Box Patent Application.


Printed Name Samuel K. White

Respectfully submitted,


John P. White
Registration No. 28,678
Attorney for Applicant(s)
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400